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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/784,193

02/24/2004

Yoshiaki Okui

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OLIFF & BERRIDGE, PLC

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EXAMINER

BERHANU, SAMUEL

ART UNIT

PAPER NUMBER

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DELIVERY MODE

03/17/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/784,193	Applicant(s) OKUI, YOSHIAKI	
	Examiner SAMUEL BERHANU	Art Unit 2838	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 November 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 2 and 14-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 2 and 14-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

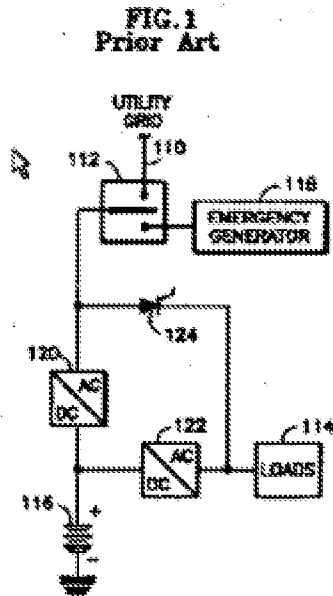
2. Claims 2, 14-17 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Young et al.** [7061139] (hereinafter Young) in view of **Ijntema et. al. (USP 4,775,827) (hereinafter Ijntema), and in view of Murase et. al. (JP 2000-050525) (hereinafter Murase).**

As to Claim 2, Young discloses all of the claim limitations except, a control circuit for controlling an output voltage of the converter to lower below a steady state, a judgment circuit that judges the degradation of the storage battery based on a charging time of the storage battery from a time when the control circuit controls the output voltage of the converter to return to the steady state to a time when the battery is fully charged, Controlling circuit controlling an output voltage of the converter to be lower than a steady state voltage.

Ijntema discloses a judgment circuit that judges the degradation of the storage battery based on a charging time of the storage battery from a time when the control circuit controls the output voltage of the converter to return to the steady state to a time when the battery is fully charged.

Murase discloses Controlling circuit controlling an output voltage of the converter to be lower than a steady state voltage.

As to Calm 2, Young discloses an uninterruptible power supply (see figure 1, and column 1, lines 59-60) power to a load and charging floatingly to a storage battery (116) from a converter (120) connecting to an alternating current power source, and having a degradation judgment circuit for the storage battery, the degradation judgment circuit comprising;



Ijntema discloses in Figures 1 and 6, a control circuit (8) for controlling an output voltage of the converter to lower below a steady state, so as to cause the storage battery to discharge at a more limited current than the rated current of the storage battery, and so as to cause the converter to supply a part of a load current to the load; and a judgment circuit (12) that judges the degradation of the storage battery based on a charging time of the storage battery from a time when the control circuit controls the output voltage of the converter to return to the

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steady state to a time when the battery is fully charged (see Abstract and

Column 2, lines 29-36, Column 5, lines 52-67)

1 A device for determining the charge status of a battery (4) when it is discharged by a load (R.sub.L) and/or when it is charged by a power-supply circuit (1) in which a value representing the nominal **charging time** and discharging time respectively is stored in first (10) and second adjusting means (11) respectively and is applied to computing means (12). In response to clock pulses applied to the computing means by a clock means (13), the computing means calculate the charge status of the battery by expressing elapsed discharging or **charging time** as a fraction of the adjusted discharging time and **charging time** respectively. The computed charge status is indicated by indicator means (14). During discharging and charging an actual charge

A timer (since the circuit counting charging time, timer is disclosed implicitly)

for measuring the charge time of the storage battery from a time when the control

circuit controls the output voltage of the converter to return to the steady state

voltage to a time when the battery is fully charged

Murase discloses in the abstract, Controlling circuit (35) controlling an output voltage of the converter (32) to be lower than a steady state voltage.

It would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify Young's apparatus and include a control circuit including a timer for judging the degradation of the storage battery based on the charging time as taught by Ijntema in order to minimize the performance degradation of the battery and to provide accurate indication of the charge status of the battery without directly measuring the charging and discharging current.

Young, Ijntema and Murase do not disclose explicitly, lowering the converter voltage to the specific (steady state voltage).

However, It would have been obvious to one of ordinary skill in the art at the time of the invention was made to pick appropriate voltage threshold, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or working ranges involves only routine skill in the art. In re Aller, 105 USPQ 233.

It is also noted that all the claimed elements of applicant's inventions were known in the prior art (e.g. voltage converter, controller for converter, detector, voltage judging means etc.) and one skilled in the art could have combined the elements as claimed by known methods with no change in their respective functions, and the combination would have yielded predictable results to one of ordinary skill in the art at the time of the invention, proper motivation/rationale to combine is as given in the office action. See KSR, 127 S. Ct. at 1740, 82 USPQ2d at 1396.

As to Claim 14, Young discloses in Figure 1, wherein said converter is a rectifier and said load includes a direct-alternating current inverter and a load apparatus.

As to Claim 15, Yong discloses in Figure 1, wherein said converter is a rectifier, the uninterruptible power supply device further comprising a direct-alternating current inverter connected midway between the storage battery and the load

(With regard to the particular location of the inverter, i.e., midway between the storage battery and the load, absent any criticality, is only considered to be a

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obvious modification as the courts held that there would be no invention in shifting the location of a structure of a device to another location if the operation of the device would not thereby be modified. In re Japikse, 181 F.2d 1019, 86 USPQ 70 (CCPA 1950) MPEP2144.04)

As to Claim 16, Yong discloses in Figure 1, wherein said converter comprises a mutual transducer of direct and alternating current, which connects to the power source in parallel with the load, and which connects the storage battery thereto.

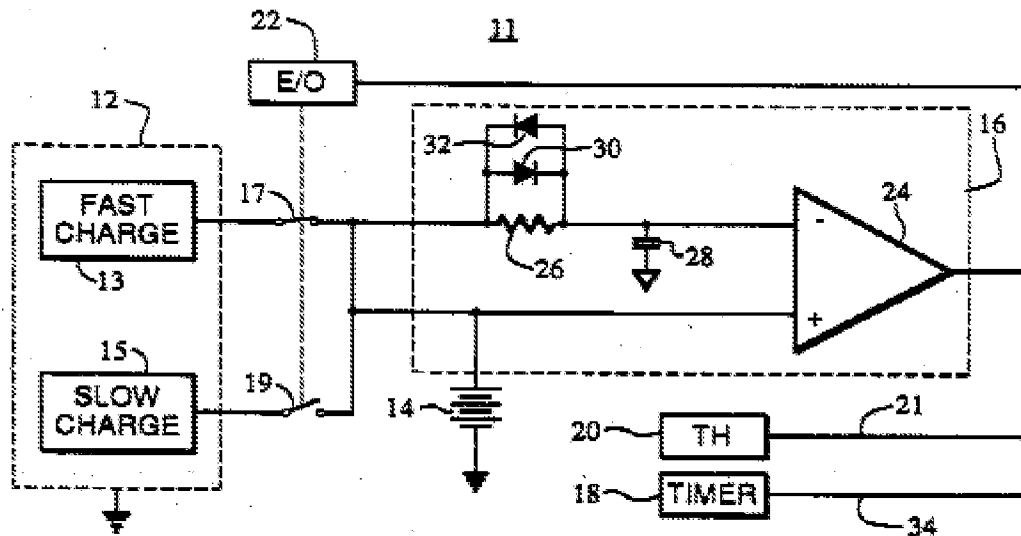
As to Claim 17, Yong discloses in Figure 1, wherein said converter comprises a transducer of alternating and direct current which connects to the power source in parallel with the load, and which connects the storage battery and a direct-alternating current inverter.

As to Claim 19, Young in view of Ijntema discloses, wherein said control circuit connects to a trigger signal source which comprises a memory in which an operational schedule for the degradation Judgment is stored, memory and the converter starts to lower the output voltage at the timing of the trigger signal and the storage battery then starts to discharge.

3. Claim 13 is rejected under 35 U.S.C. 103(a) as being unpatentable over Young in view of Ijntema, in view of Murase as applied to claim 2 above, and further in view of Pacholok (UPS 5,196,780).

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As to Claim 13, Pacholok discloses, wherein said judgment circuit comprises a timer (18) connecting to a comparator (24) for comparing a charging current (17 and 19) of the storage battery with a base current.



It would have been obvious to a person having ordinary skill in the art at the time the invention was made to modify Young's apparatus and include comparator circuit for charging currents as disclosed by Pacholok in order to avoid overcharging.

4. Claim 18 is rejected under 35 U.S.C. 103(a) as being unpatentable over Young in view of Ijntema, and in view of Murase.

As to Claim 18, as to controlling the output voltage of the converter to lower below the steady state is almost constant at what is equivalent to 10-50 % of the maximum current of the load. The current to be between 10-50 % of the

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maximum current, is only considered to be the use of "optimum" value.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention was made to have an application specific range I of charging currents, since it has been held that where the general conditions of a claim are disclosed in the prior art, discovering the optimum or working ranges involves only routine skill in the art. In re Aller, 105 USPQ 233.

5. Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Young in view of Ijntema, and in view of Murase as applied to claim 2 above, and further in view of Faria et. al. (6295215).

Young and Ijntema do not disclose PWM.

Faria discloses in an AC power supply at column 10, lines 18-21,

in FIG. 7 is also applicable to single-ended transistor configurations. It will also be appreciated that a wide variety of other control circuits may be used with the invention, including, for example, average current mode control circuits using fixed frequency pulse-width modulation (PWM) techniques, as well as non-current mode control circuits that may be operated such that they provide control of current transfer through a DC/AC converter (e.g., inverter) circuit.

Faria discloses in an AC power supply at column 10, lines. 18-21:

It would have been obvious to a person having ordinary skill in the art at the time of invention was made to modify Young's apparatus and include PWM, as disclosed by Faria, in order to provide control of current transfer through a DC/AC converter (e.g., inverter) circuit.

Response to Arguments

6. Applicant's arguments filed 11/28/2008 have been fully considered but they are not persuasive.

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7. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642

F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

8. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642

F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

9. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., that tracks characteristics that tracks a characteristic of the battery 116 or that compares a characteristic of battery 116 to expected values such that a degradation judgment can be made) are not recited in the rejected claim(s).

Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicant also argues that the applied references fail to disclose timer for measuring the charge time of the storage battery from a time when the control circuit controls the output voltage of the converter to return to the steady state voltage to a time when the batter is fully charged. This is incorrect.

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Ijntema discloses in the Abstract, Column 2, lines 29-36, Column 5, lines 52-

67)

1 A device for determining the charge status of a battery (4) when it is discharged by a load (R.sub.L) and/or when it is charged by a power-supply circuit (1) in which a value representing the nominal **charging time** and discharging time respectively is stored in first (10) and second adjusting means (11) respectively and is applied to computing means (12). In response to clock pulses applied to the computing means by a clock means (13), the computing means calculate the charge status of the battery by expressing the elapsed discharging or **charging time** as a fraction of the adjusted discharging time and **charging time** respectively. The computed charge status is indicated by indicator means (14). During discharging and charging an actual charge

A timer (since it circuit counting charging time, timer is disclosed implicitly)

for measuring the charge time of the storage battery from a time when the control circuit controls the output voltage of the converter to return to the steady state voltage to a time when the battery is fully charged.

Conclusion

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory

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action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to SAMUEL BERHANU whose telephone number is (571)272-8430. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Akm Ullah can be reached on 571-272-2361. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Akm Enayet Ullah/
Supervisory Patent Examiner, Art

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Unit 2838

/S. B./

Examiner, Art Unit 2838